



EISPP Common Advisory Format Description

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Version 1.0
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IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
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Table of Content

GLOSSARY	3
RELATED DOCUMENTS.....	4
Applicable Documents	4
Reference Documents.....	4
1. EXECUTIVE SUMMARY	5
2. INTRODUCTION.....	6
2.1. European Information Security Promotion Programme (EISPP)	6
2.2. Workpackage 3.....	6
3. EISPP ADVISORY FIELDS — OVERVIEW	7
4. EISPP ADVISORY FIELDS — DETAILED DESCRIPTION	8
4.1. Identification Data	10
4.2. History Data	11
4.3. Vulnerability Classification.....	13
4.4. System Information	17
4.5. Description.....	18
4.6. Solution.....	19
4.7. Vulnerability Identifiers and Additional Resources	20
5. XML FORMAT	21
5.1. Introduction	21
5.2. XML DTD	21
6. COMPARISON WITH OTHER INTERCHANGE FORMATS	30
6.1. Other Candidates.....	30
6.2. Lessons learned from Other Candidates.....	30
6.3. Current status of CAIF	30
6.4. Detailed Comparison of data in CAIF and EISPP formats	31
6.5. Comparison of text formatting in CAIF and EISPP format	32
7. ISSUES AND CONCLUSION	33

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

Glossary

BNF	Bacchus Naur Form
CAIF	Common Advisory Interchange Format
CERT	Computer Emergency Response Team
DoS	Denial Of Service
DTD	Document Type Description
EISPP	European Information Security Promotion Program
HTML	Hypertext Markup Language
IDMEF	Intrusion Detection Message Exchange Format
IODEF	Incident Object Description and Exchange Format
PCC	Project Coordination Committee
RUS CERT	Rechenzentrum Universitat Stuttgart CERT (University of Stuttgart)
SME	Small and Medium Enterprise
TBC	To Be Completed
TBD	To Be Defined
URL	Uniform resource location
WP	Workpackage
XML	eXtended Markup Language

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

Related documents

Applicable Documents

<i>Ref.</i>	<i>Title</i>	<i>Version</i>	<i>Date</i>
AD01	CONTRACT No IST-2001-35200 and Annexes		
AD02	Project Consortium Agreement		
AD03	Annex 1 - Description of Work	3.0	2002/04/11

Reference Documents

<i>Ref.</i>	<i>Title</i>	<i>Version</i>	<i>Date</i>
RD01	Common Advisory Interchange Format (CAIF) – Requirements http://cert.uni-stuttgart.de/projects/caif/		2002/06/05

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

1. EXECUTIVE SUMMARY

The European Information Security Promotion Programme (**EISPP**) strives to set up a network of expertise with the aim of providing European SMEs with those IT Security services that give them the necessary trust in e-commerce to develop their businesses in that direction. EISPP is a project fund by the EU through the fifth European Framework Program within the thematic program Information Society Technologies (IST). Further information about EISPP can be found at its website, <http://www.eispp.org/>.

Probably the most important security service SMEs have to be provided with, is an advisory service, i.e., the distribution of so-called security advisories that provides system administrators with precise and timely information about new vulnerabilities and what can be done against them. Such information is absolutely essential for IT security, because new vulnerabilities are discovered on a daily basis. IT systems can only be kept secure, if they are regularly upgraded or patched such that the latest security holes are closed again.

This document describes a corner stone of the EISPP approach towards supplying SMEs with security advisories: a common advisory format, which will enable an easy exchange of advisory data between the four CERTs participating in EISPP. The advisory format merges the best-practice information regarding security advisories of these four CERTs.

The format is defined using XML, so the various standards and standard tools of the XML-family can be used for advisory processing. The XML data-type description of this (and future versions) of the format, together with sample XSLT style sheets for displaying advisory data, are made publicly available on EISPP's website <http://www.eispp.org>.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

2. INTRODUCTION

2.1. European Information Security Promotion Programme (EISPP)

Adequate IT security is probably the most important aspect of creating a European environment in which an information society can flourish: Deficits in IT security bring risks to an otherwise desirable expansion of Internet-use by businesses and governments, deter potential home users, and generally endanger what already has become the nerve system of our critical infrastructures. The European Commission therefore has increased the importance of IT security within its new action plan eEurope 2005.

Amongst other measures, the action plan envisions a European warning and information system, which should keep all users of IT infrastructure up-to-date with the latest security issues. The impact of newly discovered vulnerabilities would thus be reduced, massive attacks targeted at such vulnerabilities, e.g., through worm programs, could hopefully be contained before much damage is done.

The initial plans for establishing such a European warning and information system conform to the nature of the European Union : there are no plans for one organization in which all activities regarding IT security are to be centralized. Rather, the European Commission envisions an increased networking between national players such as CERT organizations and similar bodies.

The main objective of EISPP is to set-up a European framework aimed at providing European SMEs with the necessary IT Security services in order to give them the necessary trust in e-commerce, which is important in developing their businesses. EISPP thus is a pioneer regarding the European Commission's vision of forming a European warning and information system on the basis of international networks and cooperations within the European Union. The results of EISPP will therefore be significant for all other attempts for creating networks of expertise in IT-security.

2.2. Workpackage 3

New vulnerabilities are discovered on a daily basis. IT systems can only be kept secure, if they are regularly upgraded or patched such that the latest security holes are closed again. System administrators therefore need precise and timely information about new vulnerabilities and what can be done against them. Such information is usually provided in form of "security advisories", issued by vendors for their own products and CERTs for the products that are of interest to each CERT's constituency.

The focus of WP3 (workpackage 3) is to create an infrastructure that enables CERTs to cooperate in the production of advisories. To make the cooperation worthwhile for the member CERTs, WP3 takes care of supplying processes and infrastructure for reuse of work (e.g., it should be possible to import an advisory of another CERT into one's production system to share the work on menial tasks such as collecting links to patches and references, etc.)

This document describes one key element of that infrastructure : the common format. This advisory format is needed to enable automated exchanges of CERTs' advisories within the EISPP community. This format is formally defined as an XML DTD (which describes the fields and sections that could exist in an advisory). This document also describes the format in plain language, and gives guidance there, on how fields must be completed.

It must be noted however that the common format does not include a description of how advisories must be presented (i.e. the final layout of an advisory as sent by a CERT to a user). The advisory format is XML and it differs from the format in which advisories are presented to the reader such as HTML or ASCII. WP3 has produced basic translation schemes from XML to HTML and ASCII.

This document also includes a survey of other recent projects involving structured data exchange.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

3. EISPP ADVISORY FIELDS — OVERVIEW

As mentioned above, security advisories serve to provide users of IT infrastructure with timely information regarding newly discovered vulnerabilities and what can be done against them. The following table provides an overview of the contents that the EISPP consortium regards as essential for security advisories. They are described in detail in the following section.

Field	Description
Identification Data	
Issuer	Advisory Issuer
Reference Number	An advisory reference number
Language	Language of the advisory
Title	The advisory's title
History Data	
Version History	Information about the advisory's current version/revision, along with history information and change dates
Update Information	Information about the relation of the advisory to prior/later advisories of the same issuer
Vulnerability Classification	
Confidence Level	Information about the confidence the issuer puts into the presented information.
Vulnerability Category	A very short description of the vulnerability.
Attack Requirements	Technical requirements needed by an attacker to exploit the vulnerability.
Vulnerability Impact	Information about the impact for the targeted system if the vulnerability exploitation succeeds.
Attack Expertise	Level of knowledge for the attacker to exploit the vulnerability.
Risk	Overall assessment of the vulnerability.
System Information	
System Information	Information about affected platforms and systems.
Description	
Publication Context	Information that puts the advisory into context.
Technical Context	Information that helps the user to understand the technical context of the advisory.
Description	Description of the vulnerability/vulnerabilities treated by the advisory.
Technical Information	Detailed technical information, targeted more at security experts than the average reader.
Diagnostic	Information to help the reader with diagnostics, i.e., to determine whether his system has the described vulnerability.
Solution	
Problem Solution	Description of how the vulnerability can be removed/alleviated.
Vulnerability Identifiers and Additional Resources	
Vulnerability Identifiers	If the vulnerability or vulnerabilities treated in the advisory have CVE names or identifiers provided through some other de-facto standard such as Microsoft Q-numbers, these identifiers should be supplied in this field.
Additional Resources	References to relevant material such as other advisories.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

4. EISPP ADVISORY FIELDS — DETAILED DESCRIPTION

The EISPP advisory format is presented by describing all the fields that will be present within the format.

The field template

Each field is presented using the following template:

(x) Field name

Short field description.

Content type

Information about the type of content within the field. Possible choices are

- Free text
- List-of-values
- Structured content (described with a semi-formal grammar).

Content Description

Information about how the field should be used and, if necessary, detailed description of the content type (in the case of structured content).

Further comments

Questions and thoughts about the field.

The semi-formal grammar

As was mentioned above, the content type may sometimes be described in form of a semi-formal grammar. Here is an example:

```
<telephone_list> ::= <person>*
<person>         ::= <name>.<telephone_nr>+ <email>* [birthday]
<name>           ::= [title] <first_name> <last_name>
<telephone_nr>   ::= free text (a telephone number)
<email>          ::= free text (an email)
<birthday>       ::= yyyy-mm-dd
<title>          ::= Mr | Mrs | Ms | Dr
```

The grammatic description uses the mechanisms of extended BNF. The most prominent features are:

- A (possibly empty) list is indicated with an asterix '*' in post-fix notation
- A non-empty list is indicated with a plus sign '+' in post-fix notation
- Options, i.e., zero or one occurrence, is indicated with square brackets '[...]'; if an option contains a single non-terminal, the pointed brackets that are used to enclose non-terminal names are not written.
- Choice between several options is indicated using the binary operator '|'.

Free text vs. formatted text

Fields whose content type is described as "free text" or "formatted text" have no formal restriction of their content. The difference between free text and formatted text is that formatted text may contain formatting tags that serves for pretty printing the text, providing for different font styles, paragraph styles, lists, etc. It is planned that the formatting capabilities offered by the EISPP format will be a compact subset of the capabilities offered by HTML.

The next section describes the fields of the EISPP advisory format.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

Multi-language Feature

With the EISPP advisory format, several language versions of the same advisory can be stored within one file. As a result, all language-independent fields have to be maintained only once; it is only the language-dependent fields for which several versions are supplied. Basically, all entries that are either free text (except a few that are clearly language independent) or formatted text can be supplied in multiple languages (see the XML description in Section 5.2 for further details.)

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

4.1. Identification Data

Both issuers and recipients of advisories have to manage an ever-growing set of advisories. It is therefore important that a given advisory can be uniquely identified and referenced within a set of (EISPP) advisories. To do so, there is a need for information about the issuing CERT and the reference number of the advisory. The advisory's title is also included into the identification data, because it is the most useful field for readers to recognize an advisory.

(a) Issuer

Issuer of the advisory.

Content type

List-of-values.

Content Description

An identifier for the (EISPP) CERT that issued the advisory. For FIRST members, the identifier should coincide with the short-name of the advisory as given in the FIRST member information (<http://www.first.org/team-info/>). Considering the EISPP-member CERTS, the issuer-field can be described as follows:

<issuer>	::=	CERT-IST esCERT-UPC SBS Siemens-CERT
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(b) Reference Number

An advisory reference number.

Content type

Free text (language independent).

Content Description

Each advisory must have a unique reference number that should not change during the life time of the advisory. The format of this field is defined by the policy of every issuer.

Further comments

Usually, the serial number is a combination of

- the year.
- a serial number.
- an identifier about the information type (e.g., Cert-IST uses the same reference number scheme for several document types, marking advisories with the tag AV, while Siemens CERT distinguishes four different lines of advisories (basically Windows, Unix, Network Equipment, and Miscellaneous) within the reference number.

Examples of reference numbers are

- *CERT-IST/AV-2002.217*: The 217th advisory by CERT IST in 2002
- *PC 42/02*: The 42nd advisory dealing with PCs (basically, machines running MS Windows) issued by Siemens CERT in 2002.

(c) Title

The advisory's title.

Content type

Free text (preferably less than 80 characters such that the title fits nicely on one line, e.g., the subject line of an email.)

Content Description

The title of an advisory should tell the reader in one sentence what the advisory is about. It should include information about (1) the affected platform and software, and (2) the vulnerability.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

4.2. History Data

Advisories are not issued into a void—usually there is a history of older advisories, some of which may be complemented or even superseded by the new advisory. Also, advisories may be revised, which means that there is a version history to be maintained.

The EISPP common advisory format provides two fields, one for the version history and one for keeping track of how an advisory relates to other advisories of the same issuer.

(d) Version History

Information about the advisory's current version/revision, along with history information and change dates.

Content type

Non-empty list with entries consisting of three (optionally four) fields:

```

<version_info>      ::= (<version_nr><date><change_descr>
                        [internal_comments])+
<version_nr>        ::= <version>.<revision>
<version>           ::= number
<revision>          ::= number
<date>              ::= yyyy/mm/dd
<change_descr.>     ::= free text
<internal_comments> ::= free text (language independent)

```

Content Description

Version information is given as a non-empty list of a structured entry consisting of the version number (field <version_nr>), the date (field <date>), a short description of which changes have been carried out (field <change_descr.>), possibly some internal comments of the issuing CERT (e.g., author information).

Note the following important points:

- The list must be ordered: earliest changes are listed after latest changes, such that the latest change is always at the beginning of the list, and the information about the advisory creation at the end of the list.
- The EISPP CERTs have agreed on the following policy for version numbering:
 - Versions of form 0.x are for draft advisories that have not yet been released
 - The first public release of an advisory to the readers always has version 1.0.
 - Minor changes within an advisory that do not lead to a re-release of the advisory only increment the revision (e.g., from 1.0 to 1.1).
 - Major changes within an advisory that lead to a re-release of the advisory lead to a new version, i.e., the version part is incremented by one and the revision part is set to zero (e.g., from 1.1 to 2.0).

Further comments

The following information can be extracted from the version information:

- version number and date of the latest version (extractable from the head of the version_info list.)
- date of advisory creation (last element of the version_info list)
- date of first public release (extractable from the item in the version_info list of version 1.0.)

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

(e) Update Information

Information about the relation of the advisory to prior/later advisories of the same issuer.

Content type

Non-empty list of reference numbers and associated tags describing the relationship:

<relation_info>	::= (<relation_tag> <ref_num>)*
<relation_tag>	::= complements complemented_by supersedes superseded_by
<ref_num>	::= free text (see field 0)

Content Description

If updates to advisories are given in the form of new advisories rather than modifications to an existing advisory, a reference to the updated advisory is needed. At the same time, advisories that have been updated should be marked as such within the advisory database. Otherwise, when browsing the database, it may be difficult to see whether more recent information regarding a given advisory is available:

complements: An update of an advisory can mean that complementary information is published in a separate advisory—the old and new advisory should be read together. In this case, we say that the new advisory *complements* the older advisory (which itself is *complemented by* the newer one).

supersedes: An update of an advisory can mean that the newer advisory *supersedes* the older one—the older advisory can be completely discarded, as it is *superseded by* the newer one.

Information about complementing and superseding advisories is given as tagged lists of reference numbers: the <relation_tag> specifies the relation in which the present advisory stands to the advisories referenced by a reference number (<ref_num>) that follows the relation tag.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

4.3. Vulnerability Classification

The vulnerability classification helps the reader to quickly assess the nature and danger of the described vulnerability. This section presents the information defined by the EISPP common format to classify the advisories.

(f) Confidence level

A rating of the reliability of the vulnerability classification.

Content type

A list-of-values:

```
<confidence level> ::= official+tested | official | tested
                        | probable | not_qualified
```

Content Description

The confidence level is set according to the following criteria:

- **Official and tested vulnerability:** The vulnerability has been released by an official authority (CERT, CIAC, etc.) or by a vendor. It was also successfully tested by the issuer or somebody trusted by the issuer (e.g., another EISPP CERT).
- **Official vulnerability:** The vulnerability has been released by an official authority (CERT, CIAC, etc.) or by a vendor.
- **Tested vulnerability:** The vulnerability has not been released by an official authority or a vendor, but it was successfully tested by the issuer or somebody trusted by the issuer (e.g., another EISPP CERT).
- **Probable vulnerability:** The vulnerability has not been released by an official authority or vendor, but is highly probable (cross-checked between several information sources).
- **Not qualified vulnerability:** The vulnerability has not been released by an official authority or a vendor, and could neither be tested nor crosschecked, but its criticality justifies an advisory, which must be taken "with caution".

(g) Vulnerability Category

A very short description of the vulnerability.

Content type

Free Text.

Content Description

The vulnerability category is supposed to give the reader a first impression of what the vulnerability is about. Typical examples of entries are "Buffer overflow", "Cross site scripting", etc.

(h) Attack Requirements

Technical requirements needed by an attacker to exploit the vulnerability.

Content type

List-of-values:

```
<requirement> ::= remote_no_account_standard_service
                  | remote_no_account_exotic_service
                  | remote_with_account
                  | physical_access
                  | not_rated
```

Content Description

The attack requirements are set according to the following criteria:

- **remote_no_account_standard_service:** No particular resources are necessary; an attacker can work remotely without needing an account on the system; the vulnerable service aimed at (targeted) is "standard" (e.g., smtp, http, ftp, telnet, etc.)

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

- **remote_no_account_exotic_service**: No particular resources are necessary; an attacker can work remotely without needing an account on the system; the vulnerable service is "exotic".
- **remote_with_account**: An attacker can work remotely, but needs an account to exploit the vulnerability.
- **physical_access**: The hacker needs physical access to the system.
- **not_rated**: The issuer chose not to (or was not in a position to) rate the attack requirements in this advisory.

(i) Vulnerability Impact

Information about the impact for the targeted system if the vulnerability exploitation succeeds.

Content type

A comma-separated list of impact types, which follows the following grammar:

```
<impact_type> ::= take-control | gain-privilege | get-access | Dos
                  | integrity | confidentiality | disrupt-service
                  | leverage | hiding | not_rated
```

Content Description

The following table describes the various impact types and ranks their severity (from "1" for the highest to "4" for the lowest severity level). If a vulnerability has several possible impacts, its severity level corresponds to the highest level amongst all its impacts.

Impact	Description	Severity
Take control	An attacker (remote or local, depending on resources needed) can gain full administrative privileges on the vulnerable equipment.	1
Gain (limited) privileges	Gain more privileges than initially granted.	2
Get (limited) access	Get an unprivileged access to the system. Get access to a service he/she should not have access to.	2
DoS	An attacker is able to totally disrupt a service (DoS) or all the services.	3
Integrity	An attacker can make important damage to application data integrity.	3
Confidentiality	An attacker can make important damage to application data confidentiality.	3
Disrupt service	partial DoS (e.g. : a local user may reboot the system).	4
Leverage	An attacker obtains information on the targeted system or is now in a position to launch more efficient attacks.	4
Hiding	An attacker can make important damage to system data integrity (e.g. : erasing traces).	4
Not rated	The issuer has not rated the impact in this advisory.	—

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

(j) Attack Expertise

Level of knowledge for the attacker to exploit the vulnerability.

Content type

List-of-values:

```
<expertise> ::= beginner | skilled | expert | not Rated
```

Content Description

The attack expertise is set according to the following criteria:

- **beginner**: It is sufficient for an attacker to use a script or tool available over the Internet, without any specific knowledge in the area.
- **skilled**: An attacker needs certain skills such as modifying an existing exploit.
- **expert**: An attacker needs expert skills.
- **not Rated**: the issuer chose not to (or was not in a position to) rate the attack expertise in this advisory.

(k) Risk

The "risk" criteria are an overall assessment of the vulnerability.

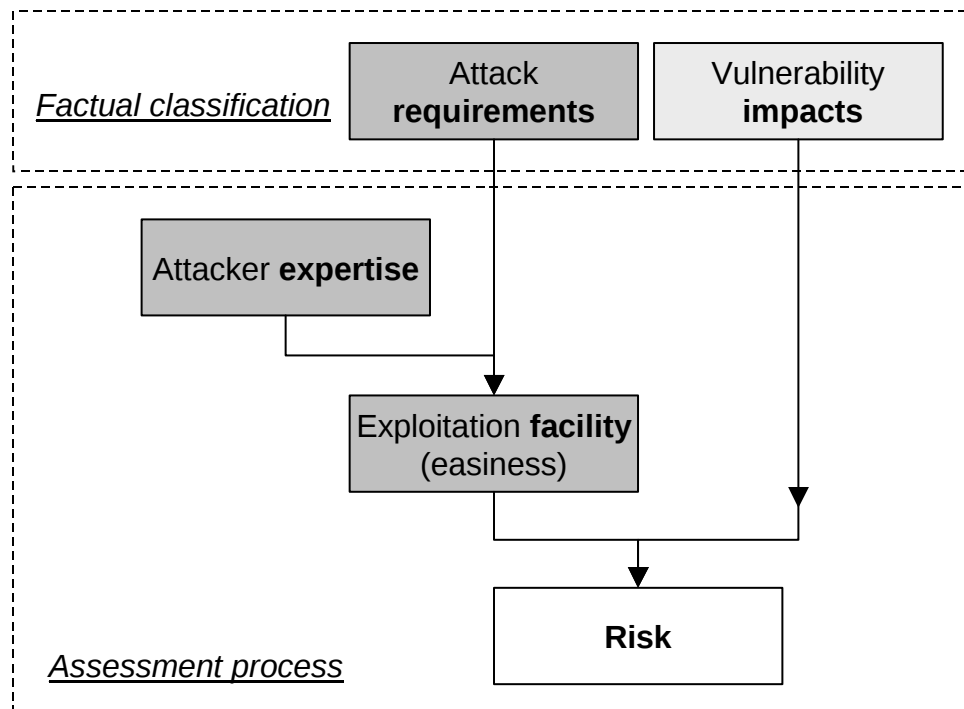
Content type

List-of-values:

```
<risk> ::= very-high | high | medium | low | not Rated
```

Content Description

The following diagram shows how the various criteria presented up to now are combined into a unique value named "risk".



The following table suggests a method for rating the risk of a vulnerability based on attack requirements, attack impacts and attack expertise. The first table derives a measure for *exploitation facility* from attack requirements and attack expertise:

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

	<requirement>			
	remote, no account, standard service	remote, no account, exotic service	remote, with account	physical access
<expertise>				
beginner	Trivial	Easy	Medium	Difficult
Skilled	Easy	Medium	Difficult	Very-difficult
Expert	Difficult	Difficult	Very-difficult	Very-difficult

	Impact Severity			
Exploitation facility (easiness)	Take control	Get limited access Gain limited privilege	DoS Integrity impact Confidentiality impact	Disrupt service Leverage Hiding
Trivial	Very-high	High	High	Medium
Easy	Very-high	High	High	Medium
Medium	Very-high	High	Medium	Medium
Difficult	High	Medium	Medium	Low
Very-difficult	High	Medium	Low	Low

Further comments

This proposed way of rating the risk is compliant with the "Risk = Impact * likelihood" paradigm. The "risk" indicates to the reader how important the vulnerability is, and how urgently appropriate measures must be taken to counter the threat. Possible guidelines are the following:

Risk	Recommendation
Very-high	Act immediately on all systems
High	Act immediately on front-end systems and servers
Medium	Action can be delayed, but a security maintenance operation must be scheduled now.
Low	Action can be delayed until the next scheduled maintenance operation

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

4.4. System Information

The crucial question for assessing whether an advisory may be relevant for a given environment depends on the system affected by the vulnerability described in the advisory.

(I) System Information

Information about affected platforms and systems.

Content type

A structured field, which gives both informal (i.e., free text) and formal information about the affected systems.

<code><system_info></code>	<code>::= <affected_platform> <affected_software> <remarks> <system_id_list></code>
<code><affected_platform></code>	<code>::= formatted text</code>
<code><affected_software></code>	<code>::= formatted text</code>
<code><remarks></code>	<code>::= formatted text</code>
<code><system_id_list></code>	<code>::= (<model_tag><systems>)</code>
<code><model_tag></code>	<code>::= tag for identifying the categorization model used in the id list</code>
<code><systems></code>	<code>::= list of affected systems; the exact form of this list is defined by a categorization model</code>

Content description

More than for any of the other fields, system information is important for advisory distribution: if system information is kept in a machine-readable format, then filtering mechanisms can be used to distribute security advisories only to readers with systems that might actually be affected. On the other hand, machine-readable system information is probably unsuitable for displaying it directly within the advisory, which will be read by humans after all.

The EISPP advisory format tries to strike a balance by providing (1) formatted text fields to inform the reader about which systems are affected, and (2) a field for machine-readable information about affected systems.

We first describe the free-text fields:

`<affected_platform>`: The affected platform; a platform is either an operating system (e.g., Redhat Linux, or MS Windows XP), a list of operating systems, a family of operating systems (e.g., Unix), or hardware (e.g., CISCO).

`<affected_software>`: The affected software; a software may be a program (e.g., MS Excel, or Apache), but also an OS-service (e.g., telnet, or finger.)

`<remarks>`: Remarks, such as about systems that may be affected or are known not to be affected.

Now for the machine-readable field `<system_id_list>`: At present, EISPP has not yet defined a common categorization model. Therefore, a `<model_tag>` is used to identify the categorization model that has been used for describing the affected systems in the `<systems>` field.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

4.5. Description

Vulnerability classification and system information enable the reader to quickly assess whether (1) the advisory might be relevant in his environment and (2) how quickly he should react. What follows is a description of the vulnerability in several fields (most of which are optional).

(m) Publication Context (OPTIONAL FIELD)

Information that puts the advisory into context.

Content Type

Formatted text.

Content Description

Examples for possible entries regarding the publication context are

- information as to what triggered the release of the present advisory (e.g., the release of a patch by a vendor)
- information about the relation of the present advisory to former advisories of the same issuer (e.g., for an advisory that complements an older one, what the new bit of information is).

(n) Technical Context (OPTIONAL FIELD)

Information that helps the user to understand the technical context of the advisory.

Content Type

Formatted text.

Content Description

Often, already the technical context of the vulnerability, e.g., if an exotic service is affected, needs explanation, which should be provided in this field. This field could be useful to explain technical concepts required to understand the vulnerability.

(o) Description

Description of the vulnerability/vulnerabilities treated by the advisory.

Content Type

Formatted text.

Content Description

This description must be understandable by any readers and does not require extended knowledge in IT and security. More technical description must be put in the "Technical information" field described below.

Except for that aspect, it is probably not possible to give very precise guidelines on how to fill out this field. The EISPP participants, however, all seem to favour short and concise descriptions.

(p) Technical Information (OPTIONAL FIELD)

Detailed technical information, targeted more at security experts than the average reader.

Content Type

Formatted text.

(q) Diagnostic (OPTIONAL FIELD)

Information to help the reader with diagnostics, i.e., to determine whether his system has the described vulnerability.

Content Type

Formatted text.

Content Description

Whether a given system is affected may not always be clear, despite the system information given earlier in the advisory. Two examples:

- The vulnerability concerns a Unix service that is unknown to all but the expert user.
- A vulnerability only applies, if the some obscure Windows file has a certain version (some readers might need assistance in locating the file and checking its version number.)

That field can also be used to indicate the kind of evidences an attack attempt may produce.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

4.6. Solution

After the reader has understood the vulnerability and established which of his systems are affected, the question is how the vulnerability can be removed or at least alleviated.

(r) Problem Solution

Description of how the vulnerability can be removed/alleviated.

Content Type

List of structured solution fields:

```

<solutions> ::= [sol_intro] <solution>*
<solution>  ::= (<sol_type> <sol_title> [sol_descr]
               reference*)
<sol_type>  ::= patch | software_upgrade | workaround | other
<sol_intro> ::= formatted text
<sol_title> ::= free text
<sol_descr> ::= formatted text

```

The <reference> field is reused in later sections of the advisory; we therefore describe it separately:

```

<reference> ::= <ref_type> [issuer] [ref_num]
               [ref_title] <uri>*
<ref_type>  ::= patch | software_upgrade | workaround
               | technical_information
               | vendor_advisory | eispp_advisory | other_advisory
               | vuln_id
               | other
<issuer>    ::= free text (language independent)
<ref_num>   ::= free text (language independent)
<ref_title> ::= free text
<uri>       ::= standard URL

```

Content Description

The contents of the solution section following the semi-formal grammar given above is explained

<solutions> An advisory may present several solutions, e.g., patch information and workarounds. If several solutions are presented, it may be desirable to start the solutions section with some introductory information (optional field <sol_intro>), followed by a list of solution entries.

<Solution> a solution has a short solution title (field <sol_title>), followed by a description of the solution (field <sol_descr>). After the description, a list of references can be given. The solution itself can be classified using the field <sol_type>—a distinction is made between solutions by patches, software upgrades, and workarounds.

<Reference> A reference provides a pointer to some resource "outside" the advisory such as other advisories, patches, etc. A reference can be given a (preferably short) title (field <ref_title>); the pointer itself is given as one or more URIs (field <uri>); all URIs should point to the same resource—this provides the possibility to point, for example, to local copies of the resource.

Furthermore, the following fields are used to keep track of useful information:

<ref_type>: the type of the resource pointed to by the reference. Most types are self-explanatory; the vuln_id value is described in field "vulnerability_id".

<issuer>: The name of the organization that issued the resource that is pointed to.

<ref_num>: The reference number (if any) given to the resource by its issuer (e.g., for advisories of other references, the reference number of that advisory, or, for patches from Microsoft, the patch number.)

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

4.7. Vulnerability Identifiers and Additional Resources

The EISPP participants tend to issue short and concise advisories, hence additional references to additional sources of information will often be useful. Standardised identifiers for vulnerabilities such as CVE names do not provide additional information themselves (even though automatic links could be created), but help the reader to correlate vulnerability information from sources such as local or remote scanners with a collection of advisories.

(s) Vulnerability Identifiers

If the vulnerability or vulnerabilities treated in the advisory have CVE names or identifiers provided through some other de-facto standard such as Microsoft Q-numbers, these identifiers should be supplied in this field.

Content Type

The <reference> structure from Field (r) is reused and standardized vulnerability identifiers are given as a list of references.

```
<vulnerability_id> ::= <reference>*
```

Content Description

Within each <reference> section, the following is done:

- the <ref_type> is set to value vuln_id.
- the <issuer> is set to the naming system that is used for the given vulnerability ID. For the time being, EISPP recognises CVE for CVE identifiers and msQ for Microsoft Q-numbers as valid entries.
- the <ref_num> is set to the given vulnerability identifier.
- the <ref_title> can be used to provide a short comment, e.g., identifying, which of several vulnerabilities described in the advisory the identifier refers to.
- with <uri>, a pointer to a resource related to the vulnerability identifier (e.g., the ICAT-database entry for a CVE number) can be given. It must be noted that the URI is not a mandatory field. Most of the time it will be omitted because it can be derived automatically from the issuer and reference-number fields.

Further comments

Giving standard identifiers only makes sense, if the identifiers are updated also *once* the advisory has been issued.

(t) Additional Resources

References to relevant material such as advisories.

Content Type

We reuse the <reference> structure from Field (r) and give additional resources as a list of reference:

```
<additional_resources > ::= <reference>*
```

Content Description

The main use of the *additional references* field is to provide references to relevant material such as advisories published by other bodies. See Field (r) for further details about the <reference> structure.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

5. XML FORMAT

5.1. Introduction

The advisory format, as presented in the previous section, has been translated into an XML DTD. In most cases, the translation from the semi-formal grammar given above into the XML DTD is straightforward; points that required special consideration were

- where to use XML elements and where to use XML attributes
- how to implement the multi-language feature
- how to treat lists-of-values (for XML-attributes, lists-of-values can be constrained via the DTD)

The DTD is extensively commented; we hope that together with the description given in Section 4, it can be easily understood.

5.2. XML DTD

```
<?xml version="1.0" encoding="UTF-8"?>

<!--===== Preliminaries =====>
<!--===== Preliminaries =====>
<!--===== Preliminaries =====>

<!--===== Type Definitions =====>
<!--
The following type definitions only serve to make the DTD itself more
readable; instead of writing "CDATA" all over the place, we can give
an indication of exactly what kind of data should be entered.
-->

<!-- A language code, as per [RFC1766] -->
<!ENTITY % LanguageCode "NMTOKEN">

<!-- Date information, format yyyy/mm/dd -->
<!ENTITY % Date "CDATA">

<!-- LOV. These are lists-of-values. Lists-of-values as entries rather
than attributes cannot be constrained by the DTD. Still, such
lists-of-values (LOV) are standardized by the EISPP advisory format; see
the end of this file for a complete list of such LOVs. -->
<!ENTITY % LOV "#PCDATA">

<!--===== List-of-values Definitions (attributes) =====>
<!--===== List-of-values Definitions (attributes) =====>
<!--===== List-of-values Definitions (attributes) =====>

<!-- LOVs that are implemented as attributes can be constrained via the
DTD. We do this for those LOVs that can be expected to be rather
static.-->

<!--Values for the relate attribute within the relation information -->
<!ENTITY % attvals.relation_attr "
(complements | complemented_by | supersedes | superseded_by)
">

<!--Values for the sol_type attribute within the sol_sec -->
<!ENTITY % attvals.sol_type_attr "
(patch | software_upgrade | workaround | other)
">
```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

```

<!--Values for the issuer attribute within the reference information -->
<!ENTITY % attvals.ref_type_attr "
    ( patch | software_upgrade | workaround | technical_information |
      vendor_advisory | eispp_advisory | other_issuer_advisory |
      vuln_id |
      other)
">

<!--===== Meta data (attribute lists) =====>
<!--
XML tags can be associated with attributes. Here we define attribute lists
that

- will apply to several tags, or
- are important enough to show them at the beginning of this document
  rather than somewhere in the middle of it.

This way, we can make changes locally rather than hunting through the
whole document. Also, the DTD gets more readable.
-->

<!--Attributes of the EISPP-advisory element.-->
<!--
In general, the fixed values of these
attributes will change each time a new version of the DTD is released.
-->

<!ENTITY % attlist.eispp "
    version          CDATA          #FIXED    '1.0'
">

<!--Attributes of all elements.-->
<!--
These are the "XML" attributes that every element
should have. At the moment there are none.
-->
<!ENTITY % attlist.global "
">

<!--=====Free text and formatted text=====>
<!--=====Free text and formatted text=====>
<!--=====Free text and formatted text=====>
<!--
We definitely need to give a description of what "formatted text", i.e.,
text with markup-tags such as <em>, <code>, etc. is. This has not been
done yet, but is a standard task.

We also define an entity for "free text" here; the idea is that free text
is almost always language dependent. Language-dependent fields such as
"Title" would then have several "free text" entities as content, one
for each language.

-->

<!ELEMENT FreeText (#PCDATA)>
<!ATTLIST FreeText
    %attlist.global;
    xml:lang          %LanguageCode;          #IMPLIED
>

<!-- The following should not be #PCDATA, but rather more complicated. -->

<!ELEMENT FormattedText (#PCDATA)>

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

```

<!ATTLIST FormattedText
    %attlist.global;
    xml:lang          %LanguageCode;          #IMPLIED
>

<!--=====
<!--===== EISPP advisory format =====>
<!--=====
<!--
Here we define the EISPP advisory format.

In its attributes we find some fields that the informal description
lists as identification data:
- language :
    Making the language-information an attribute is standard for XML;
    putting it into the top element makes most sense.

- (EISPP-)issuer:
    Will not be displayed by most presentation-engines (which are for
    readers) and applies to the whole advisory
    ==> attribute in top-element.

One more remark: this version of the dtd implements
multi-language features. In this case, the language attribute in the
EISPP-Advisory element gives the "Default language".

-->

<!ELEMENT EISPP-Advisory (Id_Data,
    History_Data,
    Vulnerability_Class,
    System_Information,
    Description,
    Solution,
    Vulnerability_ID?,
    Additional_Resources?)>

<!ATTLIST EISPP-Advisory
    %attlist.global;
    %attlist.eispp;
    issuer CDATA #REQUIRED
    xml:lang          %LanguageCode;          #REQUIRED
>

<!--=====
<!--===== Identification Data =====>
<!--=====

<!ELEMENT Id_Data (ref_num, title)>
<!ATTLIST Id_Data
    %attlist.global;
>

<!-------Ref_num----->
<!ELEMENT ref_num (#PCDATA)>
<!ATTLIST ref_num
    %attlist.global;
>

<!-------Title----->
<!-- Here is the first occurrence of using FreeText to implement a multi-language
    feature. If we want to throw out such features completely, then FreeText+
    becomes either FreeText or, to save one element, #PCDATA. -->

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

```

<!ELEMENT title (FreeText+)>
<!ATTLIST title
    %attlist.global;
>

<!------->
<!-- History Data ----->
<!------->

<!ELEMENT History_Data (version_history, update_information)>
<!ATTLIST History_Data
    %attlist.global;
>

<!-------version_history----->
<!-- Several fields identified in the informal description make excellent
    attributes, namely:
        - version
        - date

    If we want to have multi-language features, one question is whether
    those should be used for the change_descr. Probably yes, because I might
    want to display this info also to my readers, in which case I have
    to give it in all languages that I support in my CERT. The internal
    comments, on the other hand, will be in the working language of the
    issuing CERT.
-->

<!ELEMENT version_history (change_descr+)>
<!ATTLIST version_history
    %attlist.global;
>

<!ELEMENT change_descr (FreeText+, internal_comment?)>
<!ATTLIST change_descr
    %attlist.global;
    version CDATA #REQUIRED
    date %Date; #REQUIRED
>

<!ELEMENT internal_comment (#PCDATA)>
<!ATTLIST internal_comment
    %attlist.global;
>

<!-------update_information----->
<!-- Here is the first occurrence, where an element occurs that is not
    explicit in the informal description. There we find

    <update information> ::= (<relation_tag> <ref_num>)*

    What "update_pointer" does is to give a name to the group
        (<relation_tag> <ref_num>)

    We have turned the relation_tag into an attribute; it fits its
    role, and this way we can constrain it with the DTD.
-->

<!ELEMENT update_information (update_pointer*)>
<!ATTLIST update_information
    %attlist.global;
>

<!ELEMENT update_pointer (#PCDATA)>

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

```

<!ATTLIST update_pointer
    %attlist.global;
    relation %attvals.relation_attr; "complements"
>

<!------->
<!------- Vulnerability Classification----->
<!------->

<!-- The vulnerability classification has lots of LOVs. We could make
them attributes, and constrain them with the DTD. But they are
by nature more like real entries --- after all, they will be printed
in almost any presentation. Furthermore, the impact can be a list
of impacts, which a sum-type attribute cannot cater for.
Conclusion: We make the classification data entries rather than
attributes.

We take the following strategy with respect to fields that not all
CERTs might want to use:
- all such fields are optional: if a CERT does not use a field
  on a regular basis, it just leaves it away.
- the LOVs of fields have, where appropriate, a value that
  says "not_rated", "not_applicable", or something to that
  effect.

For the allowed LOVs, see at the end of this file.
-->

<!ELEMENT Vulnerability_Class (confidence_level?,vuln_cat?,impact*,
                                attack_expertise?,attack_requirements?,risk?)>
<!ATTLIST Vulnerability_Class
    %attlist.global;
>

<!-------confidence_level----->
<!ELEMENT confidence_level (%LOV;)>
<!ATTLIST confidence_level
    %attlist.global;
>

<!-------vuln_cat----->
<!ELEMENT vuln_cat (FreeText+)>
<!ATTLIST vuln_cat
    %attlist.global;
>

<!-------impact----->

<!ELEMENT impact (%LOV;)>
<!ATTLIST impact
    %attlist.global;
>

<!-------attack_expertise----->
<!ELEMENT attack_expertise (%LOV;)>
<!ATTLIST attack_expertise
    %attlist.global;
>

<!-------attack_requirements----->
<!ELEMENT attack_requirements (%LOV;)>
<!ATTLIST attack_requirements

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

```

    %attlist.global;
>

<!-------risk----->
<!ELEMENT risk (%LOV;)>
<!ATTLIST risk
    %attlist.global;
>

<!------->
<!------- System Info ----->
<!------->

<!ELEMENT System_Information (affected_platform, affected_software,
    remarks?, system_id_list?)>

<!ATTLIST System_Info
    %attlist.global;
>

<!-------affected_platform----->
<!ELEMENT affected_platform (FormattedText+)>
<!ATTLIST affected_platform
    %attlist.global;
>

<!-------affected_software ----->
<!ELEMENT affected_software (FormattedText+)>
<!ATTLIST affected_software
    %attlist.global;
>

<!-------remarks----->
<!ELEMENT remarks (FormattedText+)>
<!ATTLIST remarks
    %attlist.global;
>

<!-------system_id_list----->
<!-- For machine-readable system information, a so-called
    categorization model is necessary. Such a model must
    describe with which machine-readable system identifiers
    the systems supported by a CERT are denoted.

    Since we have no standard categorization model (yet),
    in version 1.0 of the advisor format we add an attribute "cat_model"
    --- it might be useful to have an
    entry that says which categorization model has been used.

    We can, however be reasonably sure that whatever
    categorization model is used, we will have to deal
    with a list of things ...
-->

<!ELEMENT system_id_list (system_id)*>
<!ATTLIST system_id_list
    %attlist.global;
    cat_model CDATA #IMPLIED
>

<!ELEMENT system_id (#PCDATA)>
<!ATTLIST system_id

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	--	---

```

    %attlist.global;
>

<!------->
<!------- Description ----->
<!------->

<!ELEMENT Description (publication_context?,technical_context?,
                        description,technical_information?,diagnostic?)>

<!ATTLIST Description
    %attlist.global;
>

<!-------publication_context----->
<!ELEMENT publication_context (FormattedText+)>
<!ATTLIST publication_context
    %attlist.global;
>

<!-------technical_context ----->
<!ELEMENT technical_context (FormattedText+)>
<!ATTLIST technical_context
    %attlist.global;
>

<!-------description ----->
<!ELEMENT description (FormattedText+)>
<!ATTLIST description
    %attlist.global;
>

<!-------diagnostic ----->
<!ELEMENT diagnostic (FormattedText+)>
<!ATTLIST diagnostic
    %attlist.global;
>

<!-------technical_information ----->
<!ELEMENT technical_information (FormattedText+)>
<!ATTLIST technical_information
    %attlist.global;
>

<!------- Solution ----->
<!------->

<!-- sol_section gives a name to the grouping
    ( <sol_type> <sol_title> [ <sol_descr> ] <reference>* )
-->

<!ELEMENT Solution (sol_intro?, sol_section*)>

<!ATTLIST Solution
    %attlist.global;
>

<!-------sol_intro----->
<!ELEMENT sol_intro (FormattedText+)>
<!ATTLIST sol_intro
    %attlist.global;
>

<!-------sol_section ----->

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

```

<!-- sol_type makes a wonderful attribute -->

<!ELEMENT sol_section (sol_title, sol_descr?, reference*)>
<!ATTLIST sol_section
    %attlist.global;
    sol_type %attvals.sol_type_attr; #IMPLIED
>

<!-------sol_title ----->
<!ELEMENT sol_title (FreeText+)>
<!ATTLIST sol_title
    %attlist.global;
>

<!-------sol_descr ----->
<!ELEMENT sol_descr (FormattedText+)>
<!ATTLIST sol_descr
    %attlist.global;
>


<!------->
<!------- Vulnerability_ID--->
<!------->

<!ELEMENT Vulnerability_ID (reference+)>


<!------->
<!------- Additional resources--->
<!------->

<!ELEMENT Additional_Resources (reference+)>


<!------->
<!------- reference----->
<!------->

<!-- Many of the fields for "reference" identified in the informal
description make wonderful attributes -->

<!ELEMENT reference (ref_title?, uri*)>

<!ATTLIST reference
    %attlist.global;
    ref_type %attvals.ref_type_attr; #REQUIRED
    issuer CDATA #IMPLIED
    ref_num CDATA #IMPLIED
>

<!-------ref_title ----->
<!ELEMENT ref_title (FreeText+)>
<!ATTLIST ref_title
    %attlist.global;
>

<!-------uri ----->
<!ELEMENT uri (#PCDATA)>
<!ATTLIST uri
    %attlist.global;
>

```

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

<!-------LOVs ----->

* LOV describing the issuer within the EISPP-advisory element.

The attribute that denotes a CERT corresponds to the CERT's name as given in the FIRST member information (www.first.org/team-info/).

(Since we use an attribute, we could constrain this LOV via the DTD. However, this LOV will be rather dynamic, and thus probably should not be part of the DTD.

At the moment, the LOV is

<issuer> ::= CERT-IST | esCERT-UPC | SBS | Siemens-CERT | other

* It does not seem, that the confidence level could be sometimes rated, and sometimes not. If it is never used, then the field can be left away, as it is optional. Hence: No need for a value "not_rated".

<confidence level> ::= official+tested | official | tested | probable

* <impact_type> ::= take_control | gain_privilege | get_access | DoS | integrity | confidentiality | disrupt_service | leverage | hiding | not_rated

* <attack expertise> ::= beginner | skilled | expert | not_rated

* <attack requirements> ::= remote_no_account_standard_service | remote_no_account_exotic_service | remote_with_account | physical_access | not_rated

* <risk> ::= very-high | high | medium | low | not_rated

----->

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

6. COMPARISON WITH OTHER INTERCHANGE FORMATS

6.1. Other Candidates

The project identified three other "projects" dealing with related issues :

- To the Project's knowledge, there is only one other formal definition for the exchange of advisory information that is being actively maintained. The Common Advisory Interchange Format (CAIF) is a proposal from Rechenzentrum Universitat Stuttgart CERT (RUS CERT).

See <http://cert.uni-stuttgart.de/projects/caif/>

Oliver Goebel presented this project to European CERT teams at the 6th TF-CSIRT Meeting 2002 in Copenhagen.

- A project of interest is the Incident Object Description and Exchange Format (IODEF) produced by J. Arvidsson, A. Cormack, Y. Demchenko, J. Meijer produced with assistance from TERENA.

See <http://www.terena.nl/tech/task-forces/tf-csirt/iodef/index.html>

The object of this work is to define a common data format for the description, archiving, and exchange of information about incidents between CERTs. It is currently being developed within the Internet Engineering Task Force's Request For Comments (RFC) standards development process.

- Another project is the Intrusion Detection Message Exchange Format (IDMEF) that is designed for Intrusion Detection Systems to exchange information.

See <http://www.ietf.org/html.charters/idwg-charter.html>

It is currently being developed within the Internet Engineering Task Force's Request For Comments (RFC) standards development process.

6.2. Lessons learned from Other Candidates

All the projects above use XML Document Type Definitions to formally describe their data formats. This is the approach adopted by WP3.

The IODEF and IDMEF projects are both linked to the Internet Engineering Task Force's Request For Comments (RFC) standards development process. The CAIF project intends to become part of this standards process. This process ensures widespread publicity and peer review of a project. The EISPP exchange format is currently not a part of the RFC process.

The EISPP project deadlines are very tight and the RFC process would add dependencies to external groups that could interfere with project delivery plans. The EISPP project is peer reviewed by project partners from five EU countries. Once the format is established within EISPP and has undergone further internal review, an RFC process for a later version may be one possibility.

6.3. Current status of CAIF

Currently the CAIF project has produced a requirements document available in various formats from <http://cert.uni-stuttgart.de/projects/caif>. RUS-CERT is still working on a format specification so a direct comparison with the EISPP interchange format is not possible. They are also producing author's guidelines and reader's guidelines. This comparison of the CAIF and EISPP exchange formats is based on the requirements documentation and presentation materials.

Neither CAIF nor the EISPP format specify a standard naming system for affected systems, a so-called "categorization model", yet. Within CAIF, work seems to be under way towards defining such a model. It is very likely that a CAIF proposal for a categorization model would be adopted by EISPP if the proposal is also workable within the EISPP context.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

6.4. Detailed Comparison of data in CAIF and EISPP formats

The following table summarizes the contents of the CAIF and EISPP exchange formats. More detailed notes below explain the similarities and differences.

CAIF	EISPP	Comment
[Platform/Product/Protocol] Subject	Title	
Source	Additional Resources	
Issue Date		Stored in Version History
Advisory ID including an Issuer ID	Reference Number	See note 1 below
	Issuer	
Version	Version History	See Note 2 below
	Update Information	
Abstract		See Note 3 below
Affected System	System Information	See Note 4 below
UnAffected System (Optional)		See Note 4 below
Attack Vector		See Note 5 Below
	Attack requirements	
Impact	Vulnerability Impact	
Vulnerability Class	Vulnerability Category	
	Attack Expertise	See Note 6 below
Severity	Risk	
Context (Optional)	Technical Context (Optional)	See Note 7 below
	Publication Context (Optional)	
Description	Description	
Vendor Status (Optional)		See Note 8 below
	Confidence Level	See Note 8 below
Determination Of Vulnerability	Diagnostic (Optional)	
Solution	Problem Solution	See Note 9 below
Workaround	Problem Solution	See Note 9 below
Vulnerability ID	Vulnerability Identifiers	
More Information on this Issue	Additional Resources	
Other Documents		See Note 10 below

Note 1: It is not clear if those are two fields in the CAIF format or one. The EISPP approach is to split these two fields to allow easier identification of the issuer without having to parse the Advisory ID.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
----------------	---	---

Note 2: The CAIF format includes a version number. The EISPP format has a version history that lists the changes to an advisory. There is a suggestion that the CAIF format will include a "supersedes" field to indicate the advisory that is replaced by the current one. EISPP's version history allows this relationship to be modelled as well as other relationships that EISPP considers important. Typical common and useful relationships that can be modelled are "complements", "supersedes" and the reverse relationships "complemented by", "superseded by".

Note 3: The CAIF format includes an Abstract of the advisory, a Title and a Description. The EISPP format does not include an Abstract but does have a Title and Description. EISPP does not consider an Abstract to be an essential part of the format because, judging by the current practice of the CERTs participating within EISPP, the descriptions are usually quite short anyway. Technical content from the Description will be placed in the "Technical Context" field.

Note 4: The CAIF format has optional fields to inform about "maybe affected systems" and "not affected systems". Such data can be included into the Remarks field of the EISPP System Information field.

Note 5: The CAIF format specifies "Attack Vector" that describes the pre-requisites for an attack. The EISPP format does not explicitly include this field but the data can be included in technical information. EISPP does include a structured data field called "Attack Requirements" that provides an indication of the level of access required to use a vulnerability.

Note 6: The CAIF format does not include a structured data field indicating the required attack expertise. This is a very useful indicator of the threat posed by a vulnerability and it is included in the EISPP format.

Note 7: The EISPP format includes two fields that further classify the information relating to an advisory. The CAIF format does not have separate fields for `publication_context` and `technical_context`. These fields allow finer control over the sort of text displayed to recipients of advisories. The publication context field gives the Editor a chance to explain why the advisory is being published. It can be used to emphasise the high profile of a problem in the media or the recent development of an automated attack tool that uses the vulnerability. The Technical Context field allows end users to be shielded from excess technical detail.

If less knowledgeable end users are going to understand the advisory risk and impact, the presentation must be well controlled and tailored to the audience. Finer grained categories of advisory text are necessary for this to be done effectively and automatically.

Note 8: The CAIF advisory includes an optional Vendor Status field. The EISPP format has incorporated some of this information in the values allowed for the confidence level. If there is an official vendor alert then the confidence level value can reflect this. Any other free text information relating to the Vendor Status can be included in the Problem Solution.

Note 9: The CAIF format has two fields for "Workaround" and "Solution" information that contain free-text information. The EISPP format sums up all information regarding possible solutions within the "Solution" field. Solutions can be tagged as "patch", "upgrade", or "workaround".

Note 10: The CAIF format description implies that CAIF format documents may additionally serve as "containers" for other documents that are related to the advisory. This facility is not provided for in the EISPP format, however extensive linking of documents is possible. This may be a facility to add to future releases of the EISPP format.

6.5. Comparison of text formatting in CAIF and EISPP format

The CAIF format supports text formatting that is not currently provided for in the EISPP format. Specific examples include Log file extracts and Terminal interaction. These two possibilities should be considered for future versions of the EISPP format.

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
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7. ISSUES AND CONCLUSION

The exchange format for security advisories described in this document will be put to the test within EISPP: it will serve as a common basis for exchanging information, and collaborating on security advisories. Further revisions of the format will incorporate lessons learned through this cooperation.

The XML data-type description of this and future versions of the format, together with sample XSLT stylesheets for displaying advisory data are made publicly available on EISPP's website www.eispp.org.

The EISPP consortium invites everybody interested in the common format to download and use the materials. Comments and questions can be addressed via email to info@eispp.org

IST-2001-35200	EISPP Common Advisory Format Description	EISPP-D3-001-TR Version 1.0 Date 2002/10/23
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